




**Smart Securities  
Financial Services LLC**

 +971 529894228

# **SMART SECURITIES FINANCIAL SERVICES LLC**

**LICENSED BY THE SECURITIES AND COMMODITIES AUTHORITY (SCA) – CATEGORY 5  
REGISTERED WITH THE DUBAI DEPARTMENT OF ECONOMY AND TOURISM**

## **WEBSITE TERMS & CONDITIONS**

**Version 1.0**



**Office No. 1207 Clover Bay Tower Business Bay, Dubai, UAE**

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- ii. execute or process client transactions,
- iii. hold client funds or assets, or
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By accessing this website or engaging with any third-party providers to whom you are introduced, you acknowledge that you bear full responsibility for evaluating the merits and risks associated with any investment decision, and you should seek advice from an independent, qualified financial advisor where appropriate.

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Any dispute, controversy, or claim arising out of or in connection with these Terms and Conditions, including any question regarding their existence, validity, interpretation, performance, or termination, shall first be submitted to and addressed in accordance with our Complaint Handling Procedures, as amended from time to time. Such procedures shall apply upon their publication or update on our website and shall constitute the mandatory first avenue for dispute escalation.

If the matter remains unresolved following exhaustion of the Complaint Handling Procedures, the dispute shall be finally and exclusively resolved by arbitration administered by the Dubai International Arbitration Centre (DIAC) in accordance with the DIAC Arbitration Rules, which are hereby incorporated by reference into this clause.

The seat (legal place) of arbitration shall be the Dubai International Financial Centre (DIFC), United Arab Emirates.

The language of the arbitration shall be English.

The tribunal shall consist of one arbitrator, unless DIAC determines otherwise.

If you are classified as a Client under the Introduction Broker Agreement, you expressly acknowledge and agree that this Dispute Resolution clause does not apply to you. All disputes

relating to the Introduction Broker Agreement must be resolved strictly and exclusively in accordance with the dispute resolution mechanism set out in that Agreement, which shall prevail in the event of any inconsistency.

## **MODIFICATION**

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If any provision, clause, or part of these Terms and Conditions is held to be void, invalid, unenforceable, or illegal in any jurisdiction, such provision shall be deemed severed solely for that jurisdiction and only to the extent of such invalidity or unenforceability. The remaining provisions of these Terms and Conditions shall continue in full force and effect and shall not be affected, impaired, or invalidated in any way.

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