




**Smart Securities
Financial Services LLC**

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SMART SECURITIES FINANCIAL SERVICES LLC

**LICENSED BY THE SECURITIES AND COMMODITIES AUTHORITY (SCA) – CATEGORY 5
REGISTERED WITH THE DUBAI DEPARTMENT OF ECONOMY AND TOURISM**

COOKIES POLICY

Version 1.0



Office No. 1207 Clover Bay Tower Business Bay, Dubai, UAE

INTRODUCTION

Smart Securities Financial Services LLC (“Smart Securities”, “we”, “us”, or “our”) is a company duly incorporated under the laws of the Department of Economy and Tourism (DET), Dubai, United Arab Emirates, with its registered business address at Office No. 1207, Clover Bay Tower, Business Bay, Dubai, UAE. Smart Securities Financial Services LLC is licensed and regulated by the UAE Securities and Commodities Authority (SCA) under Category-5 – Arrangement and Advice: Introduction to Financial Services.

Smart Securities Financial Services LLC uses cookies and similar tracking technologies to enhance user experience, improve website performance, and analyse website traffic. These technologies help us deliver, optimize, and enhance our services and ensure that our website functions efficiently.

By accessing and using Smart Securities’ websites, applications, devices, or other digital platforms, with your browser settings configured to accept cookies, you acknowledge and consent to our use of cookies and similar technologies in accordance with this Cookies Policy.

This Cookies Policy explains:

- i. how we use cookies and other tracking technologies;
- ii. the types and categories of cookies we employ;
- iii. the purposes for which these cookies are used; and
- iv. how you can manage, control, or disable cookie preferences through your device or browser settings.

You may modify your browser or device settings at any time to receive notifications when cookies are being used or to disable them entirely; however, certain features of the website may not function properly if cookies are disabled.

DEFINITIONS AND TYPE OF COOKIES

Cookies are small text files placed and stored on your device (such as a computer, mobile phone, or tablet) when you access or interact with the Smart Securities Financial Services LLC website or digital platforms. Cookies allow the website to recognize your device, authenticate users, store preferences, and improve website functionality and performance. By retaining both session-based and persistent data, cookies enable seamless navigation, enhance usability, and support the delivery of personalized content tailored to your preferences. Cookies also contribute to security measures, analytical insights, and legal or regulatory compliance, thereby maintaining the integrity, stability, and reliability of Smart Securities Financial Services LLC’s online services.

Smart Securities Financial Services LLC employs a variety of cookies, each serving a distinct purpose. We are committed to transparency in how these cookies operate and to providing users with meaningful control over their cookie and privacy preferences. The primary categories of cookies used on our website are as follows:

1. Essential Cookies

These cookies are strictly necessary for the basic functioning and security of the website. They enable core operational features such as authentication, access control, fraud prevention, page navigation, and load balancing. Without these cookies, certain website features may not function properly or may become inaccessible.

2. Analytical Cookies

Analytical cookies collect aggregated data regarding website usage, user interaction patterns, page performance, and browsing behavior. This information enables Smart Securities Financial Services LLC to:

- i. understand how visitors use the website,
- ii. identify areas for enhancement,
- iii. troubleshoot technical issues, and
- iv. optimize overall performance and user experience.

These cookies do not identify individual users and are used solely for statistical and analytical purposes.

3. Functionality Cookies

Functionality cookies enable the website to remember choices you make, such as language preferences, region settings, display options, and other customized features. These cookies help deliver a more personalized and consistent browsing experience by retaining your selections for future visits.

4. Marketing Cookies

Marketing cookies track browsing behavior and user interests to deliver relevant and targeted advertisements across websites or social media platforms. They also measure the effectiveness of marketing campaigns and assist Smart Securities Financial Services LLC in refining its promotional strategies and content delivery. These cookies may be set by the Company or by authorized third-party advertising partners.

HOW DO WE USE COOKIES

Smart Securities Financial Services LLC uses cookies and similar tracking technologies to enhance user experience, improve website functionality, support security, and ensure the

efficient operation of our online platforms. We are committed to using cookies responsibly and in accordance with all applicable laws, regulations, and data protection requirements. Users may manage or modify their cookie preferences at any time through their browser settings or via any cookie management tools made available on our website.

Cookies enable Smart Securities Financial Services LLC to perform the following functions:

- **Identify and Authenticate Users**

Cookies help us recognize you when you access or log in to our website, allowing us to maintain your session, authenticate your identity, and provide a seamless and secure browsing experience.

- **Detect Browser and Device Settings**

Cookies allow us to identify your device type, browser version, operating system, language preferences, and display settings. This information helps us optimize website performance and ensure compatibility across devices.

- **Enhance Security and Fraud Prevention**

Cookies assist in detecting and preventing fraudulent activities, unauthorized access attempts, malicious behavior, and other security threats. This strengthens the overall safety and integrity of our digital environment.

- **Improve Website Performance**

We use cookies to conduct diagnostics, monitor system functionality, and analyze performance metrics. By collecting aggregated data, we can identify technical issues, enhance speed and efficiency, and improve the quality of our website, products, and services.

- **Personalize User Experience**

Cookies allow us, and authorized third parties, to tailor website content, advertisements, recommendations, and user interfaces to your preferences and browsing behavior. This ensures a more relevant and personalized experience.

- **Monitor Website Usage and Analytics**

Cookies help us analyze user interactions, track page visits, detect errors, understand traffic patterns, and evaluate overall performance. These insights support the continuous improvement of our website and services.

THIRD-PARTY COOKIES

Smart Securities Financial Services LLC may engage third-party service providers, including but not limited to analytics platforms, advertising networks, technology partners, and social media integrations, to deploy cookies and tracking technologies on our website. These third-party cookies enable the collection, monitoring, and analysis of user interactions, preferences, and browsing behaviors for purposes such as:

- i. enhancing website functionality and performance;
- ii. conducting analytics and measuring usage patterns;
- iii. delivering targeted or interest-based advertisements; and

- iv. improving user experience through personalized content.

The data collected through third-party cookies is processed in accordance with the privacy policies, data protection practices, and terms of service of the respective third-party providers. Smart Securities Financial Services LLC does not control, operate, or assume responsibility or liability for the data collection, retention, processing, or security practices of these third parties.

Users are strongly encouraged to review the applicable privacy notices of these providers to understand how their personal data may be collected, stored, used, or transferred. Where available, users may also manage their cookie preferences through browser settings, consent management tools, or opt-out mechanisms provided by third-party platforms.

Smart Securities Financial Services LLC remains committed to transparency and compliance with applicable regulations but cannot oversee or guarantee the practices of external providers operating independently of our systems.

MANAGING YOUR COOKIE PREFERENCES

Users have the right to manage, restrict, or disable cookies at any time through their web browser settings or any consent management tools made available by Smart Securities Financial Services LLC. Most browsers provide configurable options that allow users to block cookies, delete existing cookies, or customize preferences relating to specific categories of cookies. Users may also choose to receive notifications before a cookie is stored on their device or selectively disable certain non-essential cookies.

You acknowledge that restricting or disabling cookies may adversely affect the functionality, security, and performance of the website. Certain essential cookies enable core features such as authentication, secure login, fraud prevention, and seamless navigation. Disabling these cookies may limit access to specific features, impair website usability, or reduce the availability and reliability of Smart Securities Financial Services LLC's online services.

For detailed guidance on managing cookie settings, users should consult their browser's help documentation or visit the official support page of the browser provider. Where applicable, Smart Securities Financial Services LLC may also provide a cookie consent management tool to facilitate user control over specific cookie categories.

Users have the right to manage, restrict, or disable cookies through their browser settings or any available consent management tools. Most web browsers provide options to block, delete, or configure cookie settings according to user preferences. Additionally, users may choose to receive notifications before cookies are placed on their devices or selectively disable certain categories of cookies.

CHANGES TO THIS COOKIES POLICY

Smart Securities Financial Services LLC reserves the right, at its sole discretion, to revise, amend, update, or modify this Cookies Policy at any time. Such amendments may be necessary to reflect:

- i. changes in applicable laws and regulatory requirements,
- ii. updates in industry standards or best practices,
- iii. technological advancements affecting cookie usage or tracking mechanisms,
- iv. developments in our operational processes, products, or service offerings, or
- v. enhancements to user experience, website functionality, and security measures.

Any updates to this Cookies Policy will be published on our website and shall take effect immediately upon posting, unless a later effective date is expressly indicated. You are encouraged to review this Policy periodically to remain informed of how we use cookies and other tracking technologies.

Where a revision introduces material changes including but not limited to adjustments in the categories of cookies used, changes in the purpose or duration of cookies, alterations in data-sharing practices with third parties, or modifications affecting your privacy rights. Smart Securities Financial Services LLC will, where required under applicable data protection laws:

- i. provide clear and prominent notice of such changes; and
- ii. seek your renewed consent before implementing cookie practices that materially affect your personal data or your ability to exercise data protection rights.

Your continued use of our website following the posting of any updated version of this Cookies Policy shall constitute your acceptance of the revised terms, except in circumstances where explicit consent is legally required.

This Cookies Policy should be read together with our Privacy Policy, which provides detailed information regarding how Smart Securities Financial Services LLC collects, processes, stores, and protects your personal data, including that obtained through cookies and similar technologies.

If you have questions, concerns, or require further clarification regarding our cookie practices, this Cookies Policy, or your rights in relation to cookies and tracking technologies, you may contact us at:

Email: compliance@smartsec.com

Compliance Department – Smart Securities Financial Services LLC

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